

FPR Investments LLC

Financial Planning Agreement

Client Name:	
Client Address:	
Client Phone:	

Client engages FPR Investments LLC (“FPR”) for financial planning services. FPR hereby accepts appointment and fiduciary duty of utmost good faith to act solely in the best interests of each Client pursuant to the terms and conditions set forth in this Agreement and to comply with impartial conduct standards of:

- Charging no more than reasonable compensation for services provided; and
- Making no misleading statements regarding investments, compensation and conflicts of interest.

Financial planning will be performed for one or more of the following areas:

Financial goals	Cash flow analysis	Estate preservation
Retirement strategy	Risk management plan	Other: _____
Investment Analysis	Long-term investment plan	Other: _____

FPR charges a fixed fee for financial planning services between \$500 and \$5,000 dependent upon the complexity of the Client’s specific situation. Prior to the planning process the Client will be provided the plan fee. Fees for financial planning services are due in advance upon the signing of the Agreement. Services are completed and delivered within sixty (60) days contingent on the timely delivery of all applicable documents from the Client. Client may cancel within five (5) days of signing Agreement with no obligation. If the Client cancels after five (5) business days, any unearned fees will be refunded to the Client based on the percentage of the work completed by FPR. FPR reserves the right to waive the fee should the Client implement the plan through FPR.

The fee for the financial plan is \$_____.

 Client Initials Date Client Initials Date

The validity of this Agreement and the rights and liabilities of the parties hereunder shall be determined in accordance with the laws of the State in which the Client resides, except to the extent preempted by ERISA.

FPR agrees that all information concerning the financial affairs of Client shall be treated as confidential and will be disclosed to third parties as presented in FPR’s Privacy Policy. Exceptions may be made as required by law.

Client acknowledges receipt of the Privacy Policy and Form ADV Part 2A & 2B of FPR. If Form ADV Part 2A & 2B was not delivered to the Client at least 48 hours prior to the Client entering into any written advisory contract with FPR, then the Client has the right to terminate the contract without penalty within five (5) business days after entering into the contract. For the purposes of this provision, a contract is considered entered into when all parties to the contract have signed the

contract, otherwise signified their acceptance, any other provisions of this contract notwithstanding.

All notices and other communications contemplated by this Agreement shall be deemed duly given if it is transmitted to FPR at:

507 17th Ave S
North Myrtle Beach, SC 29582

Or via email at frankierivell@gmail.com

And to Client at the address appearing above, or at such other address or addresses that shall be specified, in each case, in a written notice similarly given.

FPR does not have discretion or custody of Client funds under this Agreement. This Agreement is considered complete upon delivery of the plan. No assignment of this Agreement by FPR shall be effective without the prior written consent of Client.

Client chooses to have all required documents delivered via electronic communication, including, but not limited to, the documents listed above. Please send my documents to my secure online portal or email address:

[Redacted]

Email Address

[Redacted]

Email Address

Email Address Certification. You certify that the email address provided (“Client Email”) is a functioning email address owned and maintained by you or your agent on your behalf, and that all electronic communications of reports sent to the Email Address shall be accessible by you. You agree to notify us in writing, of any change in the Email Address.

FPR reserves the right to email additional forms and/or documents to the email indicated above. My signature below authorizes FPR to send any forms or documents that they feel are necessary to my email address.

Client Signature: _____ Date: _____

Client Signature: _____ Date: _____

Advisor Signature: _____ Date: _____